

February 6, 2019

Subject: RFQ No. 19-C-668 for On-Call Consulting Services for the Capital
Projects Program
Addendum No. 01

Prospective Proposers:

Enclosed is Addendum No. 01 to the Request for Qualifications (RFQ) for On-Call Consulting Services for the Capital Projects Program by San Joaquin Regional Rail Commission (SJRRRC) on January 15, 2019.

Each page in the addendum package has been issued to allow for full replacement of existing pages/sections in the RFP Documents. Changes to the text are indicated by an arrow in the margin next to the changes and are accompanied by an A1, indicating the addendum reference.

Cover Sheet	Addendum Letter (<i>this document</i>)
Attachment 1	Request for Clarifications and Questions
Attachment 2	Pages 1-29 of RFQ 19-C668 (Revision 01)
Attachment 3	Section VII "General Conditions" page 34 (Revision 01)
Attachment 4	Section XI "Proposer's Responsibility Statement" (Revision 01)
Attachment 5	Revised and Replaced Attachment I "Statement of Qualifications Submissions Cover Page"
Attachment 6	Attachment VI – "DOT & FTA Clauses" pages 121-122 (Revision 01)

Please contact Autumn Gowan at (209) 944-6237 or RFP@acerail.com if you have questions regarding this addendum or are missing any of the pages from the enclosure list.

Sincerely,



Autumn Gowan
Contracts and Compliance Assistant

Attachment 01 - Request for Clarifications and Questions
Addendum 01 to RFQ 19-C668

1. Question: Should the consultant wish to propose on several of the services described and one or more of the immediate projects, would SJRRC prefer separate submittals for the different services and projects?

Answer: SJRRC requests that one proposal be submitted using the cover sheet provided on page 69 of the RFQ. Please refer to Attachment 2 of this Addendum, page 26 of the RFQ, which increases the page limit to allow proposers to submit in this fashion.

2. Question: Since according to Page 94 “SJRRC has NOT established a goal participation by DBEs in this contract” are we still required to complete the table/s on pages 94-96?

Answer: Submittals that respond to the FTA funded projects listed on page 11 are required to submit the DBE schedule for reporting purposes.

3. Question: There is a table on Page 121. Does this table need to be submitted?

Answer: Submittals that respond to the FTA funded projects listed on page 11 are required to fill out and return the table on page 121 to acknowledge receipt of the FTA required clauses. Please refer to Attachment 4 of this Addendum for the amended pages 121 and 122.

4. Question: Should ALL the forms (Addenda acknowledgement, 10-K, DBE participation, etc.) be included in a separate envelope or only the form on pages 62-66? If not in a separate envelope, then should the forms be included in a separate Tab bound into the proposal?

Answer: Statement of Qualifications/Proposals should be in one section with all forms but the Cost Proposal(s). Forms that do not count towards the page limit may be included as an appendix.

Cost Proposal(s), if submitted, must be in a separate sealed envelope(s). If proposing for multiple projects, each proposal shall be labeled in its own envelope with the service and project the cost proposal is for.

5. Question: Does the Table of Contents count in the 20 page maximum?

Answer: Yes.

6. Question: Do tabs with Titles of Sections count in the 20 page maximum?

Answer: No.

7. Question: Project #12 Tuolumne River Bridge that is listed on Page 7 of the RFP, does not seem to be included on the Attachment I – Statement of Qualifications

Submission Cover Page (where we check off which projects we are pursuing). Will SJRRC be issuing an updated Attachment I that will include this project?

Answer: [Please refer to Attachments 2 and 5 of this Addendum for amended language.](#)

8. Question: The RFP includes a list of projects along with their immediate professional and project development services. Only two projects list CM as a needed service. Does this mean the other projects don't require CM services?

Answer: [All projects listed are anticipated to require all services listed over the next five \(5\) years. The list on pages 12 – 13 provides the projects and their services that require immediate contracting. Please refer to Attachments 2 and 5 of this Addendum for clarifications.](#)

9. Question: Section VI of the RFP states there is a 20 one-sided page limit, exclusive of the Cover letter, Cost Proposal, and Additional Required Forms. However, Subsection E, "Project Organizational and Key Personnel", states we should provide resumes of key personnel and subconsultants proposed for the project. Are the resumes part of the 20 page limit? Or can they be included separate from the 20 page limit in an separate appendix section.

Answer: [Please refer to Attachment 2 of this Addendum, page 26.](#)

10. Question: Under Qualification Submittal, Subsection D, "Cost Proposals", it states for firms or individuals submitting qualifications to be considered for the immediate contracting of services on the projects described, provide estimates of the total direct and indirect costs to complete the immediate project development phase needed as identified in the Purpose of the RFQ section. Do this only apply project development phase scope of work services or is a cost proposal also required for the CM phase? If yes, there isn't much information available to provide a not to exceed cost proposal especially it appears the two projects with CM services listed are still in the early project development phases.

Answer: [Please refer to Attachment 2 of this Addendum, pages 5-13 and 28, for clarification.](#)

11. Question: Plus, is it only for the projects identified under Section III.2 or does it apply to the projects listed in Section II, "Background", since it appears some projects are not included in Section III.2 that are listed under Section II.

Answer: [Please refer to response to question #10 above.](#)

12. Question: I wanted to clarify that we submit one (1) quals package and just indicate which categories we are submitting for (we do not submit a separate quals package for each category)?

Answer: [Please refer to the response to question #1 above.](#)

13. Question: Section VI. RFQ Content (page 26) states “submittal letter” should include the proposed approach. To clarify, do you want the approach in the cover letter or in the body of the quals statement?

Answer: The proposed approach should be provided in the body of the statement of qualifications.

14. Question: Are the resumes included in the 20-page limit?

Answer: Please see the response to question #9 above.

15. Question: For the cost proposals (page 28), are cost proposals being requested for the each of the 15 projects specifically listed on pages 12-13, or only for the projects we would like to pursue? And are the costs needed for all phases listed (ex: North Lathrop Transfer Station at Sharpe Army Depot; do we provide a cost just for the PS&E or for all PS&E, RW, and ES)?

Answer: Proposers are encouraged to refer to the RFQ on pages 11 and 28 regarding the projects. Please refer to response to question #10 above.

16. Question: Also for the cost proposals, if we provide cost proposals for the projects listed, I assume we use form 10-H1. Do we also submit 10-H2 (for on-calls) to provide rates for future projects?

Answer: Please refer to response to question #10 above.

17. Question: Do the subconsultants on the team need to fill out the Proposer’s Responsibility Statement (Section XI, mentioned on page 29) as well?

a) The form states that it gets submitted in a separate envelope...Do we submit the same amount of copies (1 original and 6 copies)?

Answer: One copy of Section XI is required for the Primes as an attachment to the submittal. Please refer to Attachment 2 of this Addendum, page 26, for amended language. Please refer to Attachment 4 of this Addendum.

18. Question: Do the subconsultants on the team need to fill out the Exhibit 10-K (Annual Cert of Indirect cost..., mentioned on page 29) as well?

a) Should this form get submitted in a separate envelope with the Section XI, Proposer’s Responsibility Statement form?

Answer: Only the Prime is required to submit Exhibit 10-K.
a) Please refer to the responses to questions #4 and #17 above.

19. Question: Does the cover page (Attachment I) get submitted within the quals package (with the letter or other location) or does it get affixed to the sealed package on the outside?

Answer: Please place Attachment 1 at the front of submittals.

20. Question: Does the DBE Participation Schedule form (Also called attachment I) get submitted within the quals package or in the separate envelope with the Section XI, Proposer's Responsibility Statement form?

Answer: Please refer to the responses to questions #4 and #17 above.

21. Question: Can you please clarify which insurance requirements are correct? There are two sets in the document – pages 39-42 and pages 60-62, and they are different.

Answer: Pages 39-42 are sample requirements for the type of services. Pages 60-62 are part of the sample agreement provided for reference only. Proposers are encouraged to review page 35 regarding the insurance requirements.

22. Question: Can the electronic submittal be given on a memory stick / USB drive in PDF form, instead of on a CD?

Answer: Due to storage and security purposes, SJRRC's preferred form is on a CD. However, USB/memory stick will be accepted.

23. Question: May resumes be submitted in an Appendix with brief bios of Key Personnel included in the body of the SOQ?

Answer: Please refer to the response to question #9 above.

24. Question: Do you want to see project experience from all members on a team, or only from the prime consultant?

Answer: Project experience is needed for all members on a team.

25. Question: Are front and back covers, tabs and the TOC included in the page limit?

Answer: Please refer to the responses to questions #5 and #6 above.

26. Question: When you ask consultants to "clearly list projects for which we are submitting" do you mean the categories A, B, C, etc., or do you mean the individual projects listed on pages 5-11 of the RFQ, or do you mean to include the list provided in the RFQ on page 69?

Answer: Proposers should complete Attachment I of the RFQ and place it at the front of their submittal.

27. Question: At the Old Western Pacific Depot, do plans include rebuilding or building the vertical structure?

Answer: The plans include both rebuilding and building the vertical structure.

28. Question: Until a scope of work is identified, it is quite difficult to arrive at a Not to Exceed price for any project, as stated on page 28 of the RFQ. Do you intend for consultant teams to provide only a schedule of rates based on the forms as Exhibit 10-H1?

Answer: [Please refer to the response to question #10 above.](#)

29. Question: Paragraph 4 on page 33 is identical to paragraph 5 on page 34. Is there a missing paragraph of which we should be aware?

Answer: [This is an error. Please refer to Attachment 3 of this Addendum.](#)

30. Question: Do you need to see proof of insurance for only the prime, or also from all subconsultants, and if so, can that proof be included in an Appendix?

Answer: [Proof of insurance is only required by the awarded firms or individuals.](#)

31. Question: Do you need Section XI from only the Prime or also from all subconsultants?

Answer: [Please refer to the response for question #17 above.](#)

32. Question: Can all the forms, aside from the ones in a separate envelope, be included in a tabbed Appendix?

Answer: [Yes. Please refer to the response to question #4 above.](#)

33. Question: Do we include all of Attachment V in the proposal, or just the signature page?

Answer: [Please refer to response to question #3 above.](#)

34. Question: Can DBE certificates be included in an Appendix?

Answer: [Please refer to response to question #4.](#)

35. Question: The RFQ only identifies two projects for which construction management services will be asked – The Merced Station Parking Lot and the Stockton Cabral Track Extension. Are these the only projects for which CM services to be provided or do you intend to seek such services for other projects during the 5-year life of the project?

Answer: [Please refer to the response to question #8 above.](#)

36. Question: How many copies of the cost proposal do you require and is a uneditable electronic copy required as well?

Answer: [One copy in a separate sealed envelope shall be submitted. No electronic copy shall be submitted. Please refer to the response to question #10 above.](#)

37. Question: Based on our initial review, there are less than 30 private properties impacted by the Phase 1 projects, as listed on pages 12 and 13 of the RFQ. Because design is only at 15%, it is difficult to determine realistic and reflective costs for right of way services based on the Cost Proposals methodology described on page 28 of the RFQ. Knowing this, how would you like to see the costs prepared for each project? Would It make sense to complete Exhibit 10-H based on fully burdened hourly rates with a full cost to be determined at a later date when designs are closer to being complete, there is more project-specific information and we have a better idea of exactly what properties and how many will be impacted?

Answer: [Please refer to the response to question #10 above.](#)

38. Question: Do Key Personnel resumes count towards the 20 page limit?

Answer: [Please refer to the response to question #9 above.](#)

39. Question: It is stated that 11"x17" pages can be utilized for large tables, charts, or diagrams, but should be limited. Do 11"x17" pages count towards the page limit? If so do they count as one or two pages?

Answer: [11"x17" pages count as one \(1\) page and should be limited to a reasonable amount.](#)

40. Question: Can "Non-Key" Staff and Subconsultant resumes be included in an Appendix?

Answer: [Please refer to the response to question #9 above.](#)

41. Question: Are Cover/Tabs included in the 20 page limit?

Answer: [Please refer to the response to question #6 above.](#)

42. Question: Is Attachment I – Statement of Qualifications Submission Cover Page included in the 20 page limit?

Answer: [No.](#)

43. Question: Is Table of Contents included in the 20 page limit?

Answer: [Please refer to the response for question #5 above.](#)

44. Question: Should we check the box for all projects on the SOQ Submission Cover Page for which we are interested in providing CM services even if CM is not included in parentheses after the project name? (Only Merced Station Parking Lot and Stockton Cabral Track Extension indicate CM on the Cover Page.)

Answer: [Yes. Please refer to the response to question #8 above for additional clarification.](#)

45. Question: Are any subconsultants on a prime consultant team required to maintain the same levels of insurance (General Liability, Workers Comp, Auto, Umbrella, Professional Liability, and Pollution Liability) as it is difficult for smaller subconsultant firms to obtain and afford \$10M Umbrella and \$5M Professional Liability.

Answer: [Please refer to the response for question #21 above.](#)

46. Question: FTA has specific contracting requirements for conflict of interest based on unequal access to information which can give some firms an unfair advantage. Are there any firms which are conflicted out of this opportunity because of involvement on previous or existing studies or preliminary work on these projects? If so, can you share the list of firms that are conflicted out.

Answer: [At this time, SJRRC does not know of any conflicts. Please refer to questions #93 and #94 below for additional information.](#)

47. Question: On pages 12 and 28 the RFQ requests man-hours and pricing for immediate projects of interest to the consultant. We believe this implies that if we have an interest in one of the initial 15 projects identified on pages 12 and 13, that we will need to provide the requested cost estimates in detail with our SOQ, but in separate sealed envelopes. Other than the initial 15 projects, may consultants still provide a Statement of Qualifications if they are interested in the remaining project work of the Agency, even if they do not include pricing for one of the initial 15 projects? Will firms that submit an SOQ but not a cost proposal be considered for any of the 15 initial projects if SJRRC receives no cost proposals for a project? Please verify that this is the intent of the RFQ or clarify.

Answer: [Yes, if that firm/individual is shortlisted for a service that does not receive a cost proposal than SJRRC will proceed with the ranking list. That is the intent of this RFQ.](#)

48. Question: The scope of services listed on pages 15-22 of the RFQ is generic and not specific to any particular project. Section 4 of page 36 of the RFQ suggests that SJRRC will issue draft scope of services for each specific project along with “expected results, project deliverables, period of performance and project schedule”, however, there are no specific scopes of services included for each of the 15 immediate projects nor any of the 26 projects listed. If cost proposals are submitted for specific “immediate” projects with our SOQs, we assume that SJRRC will want the project specific scopes of services for these projects to be provided and included in the separate envelope for that proposal. These scopes are not a part of the 20 page limit in our reading. Please verify that is the case or clarify.

Answer: [Please refer to the responses to questions #8 and #10 above.](#)

49. Question: The cover page requirements of page 69 of the RFQ list 25 projects that can be submitted on with the SOQ. Pages 5 through 11 of the RFQ describes 26 projects. Pages 12 and 13 of the RFQ lists only 15 projects for immediate contracts. Please clarify which projects SJRRC would like to receive cost proposals on.

Answer: [Proposers are encouraged to review page 28 of the RFQ.](#)

50. Question: Section VI page 26 of the RFQ says, “There is 20 one-sided page limit, exclusive of the Cover Letter, Cost Proposal, and Additional Required Forms.” Are resumes of key personnel and subconsultants (part of E. Project Organizational and Key Personnel) and Table of Contents included in the 20 page limit?

Answer: [Please refer to the responses to questions #5 and #9 above.](#)

51. Question: Does the Table of Contents count toward the page limit?

Answer: [Please refer to the response to question #5 above.](#)

52. Question: On pages 18 and 19 of the RFQ, it states that the Consultant and Subconsultants shall submit a copy of a work sample. To clarify, are you looking for sample appraisal/review appraisal reports?

Answer: [Yes.](#)

53. Question: We maintain insurance policies that exceed standard coverage for our industry; however, because we are not a construction or engineering firm, they are less than what is requested in SJRRC’s RFQ. Would you be willing to make an exception for this coverage requirement, so it is more applicable to the right of way services industry?

Answer: [Please refer to the response for question #21 above.](#)

54. Question: Do need a copy of every appraiser’s license included, and does that count in the page count? Or will the Appraisal Manager’s suffice, and same question regarding page count?

Answer: [There is no preference. They will be considered part of the resumes and will not be counted towards the page limit.](#)

55. Question: Does the work sample count in the page count? Are you looking just for an appraisal report, or a contact diary or FWO under acquisition as well?

Answer: [The work sample will be considered part of the resume and will not be counted towards the page limit. There is no preference on the work sample submitted.](#)

56. Question: Do resumes or the table of contents count in the page count?

Answer: [Please refer to the responses to questions #5 and #9 above.](#)

57. Question: In the Evaluation Criteria, project understanding is valued with a 3.0 multiplier. The VI.

a. Request for Qualifications Content and Form lists items A – E, none of which include information for a project understanding. Where is the understanding content to be submitted?

Answer: For this RFQ, experience and understanding are considered the same. The understanding content should be included in the response to Section VI.D “Experience and Technical Competence”.

58. Question: The VI. Request for Qualifications Content and Form lists additional required documents

a. XI Proposer’s Responsibility Statement

i. At the top of the XI document, the following text is noted in red “This form shall be placed in a separate envelope.” Do we submit the XI document in our submittal package or as a separate attachment in a sealed envelope?

ii. This document requests information on 3 completed projects. Are these projects different than what is requested in VI. Request for Qualifications Content and Form lists item D? Or can they be the same projects?

b. Section XXII “Consultant Annual Certification of Indirect Costs and Financial Management System” and submit with Qualifications.

i. Please confirm that this is Exhibit 10-K

Answer: Please refer to the response to question #4 above regarding Section XI. The completed projects can be the same projects. Section VI.D is intended to be the overall experience of the firm or individual. Please refer to Attachment 2 of this Addendum for the amended table of contents to clarify Section XXII.

59. Question: Please confirm that Exhibit 10-H1 is only due if we submit a project specific fee proposal for an immediate project

Answer: Please refer to the response to question #11 above.

60. Question: Is it the intent of ACE for the consultants working on individual projects to manage the utility coordination efforts, or will that be primarily the responsibility of the Rail Engineering Support (ES) team?

Answer: The intention is that the contractor responsible for design will be responsible for utility coordination efforts.

61. Question: Please clarify if resumes are needed for each subconsultant team member, or only the key staff identified for each sub

Answer: Resumes for subconsultants should be for the key personal proposed for the project.

- 62. Question:** Please clarify if resumes are part of the page count, or if they can be excluded from the page count
Answer: [Please refer to the response to question #9 above.](#)
- 63. Question:** The Tuolumne River bridge is not shown in the project list. Please clarify if the bridge was inadvertently left out of the list
Answer: [Please refer to Attachments 2 and 5 of this Addendum for amended language.](#)
- 64. Question:** Please confirm that separate Statements of Qualifications are required for each consulting service category
Answer: [Please refer to the response to question #1 above.](#)
- 65. Question:** Are suggested revisions to the standard agreement included in the 20 page limit?
Answer: [No. Please include in an appendix at the end of the statement of qualifications.](#)
- 66. Question:** The DBE Participation and DOT & FTA forms, do those only need to be filled out only if submitting on one of the 5 projects listed on page 11? That is what the instructions say, but then the forms say Failure to complete and submit will result in rejection and shall be deemed non-responsive? Please clarify.
Answer: [Please refer to the responses to questions #2 and #3 above. The forms are only required for those projects listed on page 11.](#)
- 67. Question:** How does SJRRC and SJPA want Cost Proposals for Right of Way (ROW) Scope of Work to be handled given that design is still in process and ROW is not confirmed? Should ROW estimates assume parcels in environmental document need to be acquired with caveat that there likely will be revisions, which will alter hours / pricing? Or, can Cost Proposals include direct costs, fringe / overhead information, **but not hours**, which would be provided at the time design is more complete?
Answer: [Please refer to the response to question #10 above.](#)
- 68. Question:** Is the Proposal Response Form required only by the primary consultant or do subconsultants need to submit as well?
Answer: [SJRRC assumes that you are referring to Section XI "Proposer's Responsibility Statement". If so, please refer to the response to question #17 above.](#)
- 69. Question:** On page 29 of the RFQ it lists the Additional Required Documents - Proposer's Responsibility Statement and Consultant Annual Certification of Indirect Costs and Financial Management System. Can we assume that we are **NOT required** to complete Attachment I – Disadvantage Business Enterprise (DBE) Participation

Schedule and Attachment V – Department of Transportation and Federal Transit Administration (FTA) Contract Clauses?

Answer: [Proposers are encouraged to refer to page 11 of the RFQ, along with responses to questions #2, #3 and #66 above.](#)

70. Question: Paragraph two of the cover letter (PDF page 3) requires the submission of one (1) digital copy of CD format of the SOQ. In lieu of a CD, would SJRRC allow the electronic submission on a USB thumb drive?

Answer: [Please refer to the response to question #22 above.](#)

71. Question: Item #26 “Stockton Regional Rail Maintenance Facility Expansion” in Section II “Background” on Page 11 (PDF page 12) does not include Rail Engineering Support Services (ES). Can you confirm SJRRC did not intend to include this professional service on this specific project

Answer: [Please refer to Attachment 2 of this Addendum 01 for amended language.](#)

72. Question: Paragraph one in Section IV “Scope of Services” page 15 (PDF page 16) states “Consultants submitting qualifications shall be able to provide the services per project or team with other firms to provide the services.” Can SJRRC confirm if a Prime Consultant on the Rail Engineering Support Services (ES) portion of the RFQ can participate as a sub-consultant to a Prime on other professional service included in the RFQ.

Answer: [Yes.](#)

73. Question: Section C “Scope of Services” paragraph one, page 20 (PDF page 21), states “Resident Engineer shall be a Civil Engineer, registered in the State of California.” Understanding that each project is unique, will SJRRC consider removing the requirement of a “Civil” engineer from this RFQ and determine the appropriate discipline licensure or relevant experience by specific project?

Answer: [Please refer to Attachment 2 of this Addendum, page 20 for amended language.](#)

74. Question: Can SJRRC please clarify the first paragraph on the “Duties and Responsibilities” portion of Section C “Scope of Services” on page 22 (PDF page 23) of the RFQ. Specific clarification is requested as it relates to the sentence regarding “Landscape Construction as described in the Project Description.”

Answer: [Please refer to Attachment 2 of this Addendum, page 22 for amended language.](#)

75. Question: The last sentence in paragraph one in Section VI “Request for Qualification Content and Form” on page 26 (PDF page 27) of the RFQ states “There

is a 20 one-sided page limit, exclusive of the Cover Letter, Cost Proposal, and Additional Required Forms.” Can SJRRC confirm if this page limit includes resumes?

Answer: [Please refer to the response to question #9 above.](#)

76. Question: Is there funding from the California High Speed Rail (CAHSR) project funding any portion of this ACE/SJJPA project? If so, are there discrete elements of the ACE/SJJPA work being funded by CAHSR and, if so, could you identify those elements?

Answer: [Not applicable to this RFQ.](#)

77. Question: The RFQ requests pricing. If a proposer submits on multiple projects, how should the pricing be configured? Is ACE/SJJPA requesting pricing for each individual element? Note that If proposers price each element as if it is independent, then all the project overheads will have to be lumped into each project as if it were a stand-alone project for the proposer.

Answer: [Please refer to the responses for questions #4 and #10 above.](#)

78. Question: In the instructions for number of copies of the resume, the Agency requests “one (1) digital copy of CD format of the SOQ.” Would the Agency accept instead one (1) flash drive with a copy of the SOQ? Most of our computers no longer have the capability to burn CDs.

Answer: [Please refer to the response to question #22 above.](#)

79. Question: Under “Section III. Purpose of the RFQ,” item number 2 states: “Enter into immediate contracts for specific projects. SJRRC intends to select pre-qualified consultants to commence work on specific projects from the abovementioned list of projects as early as April 2019. These projects along with their needed immediate professional and project development services are as follows: Could the agency please confirm that for the projects listed under item 2, there will be no further qualification needed, other than this SOQ?”

Answer: [SJRRC confirms that no further qualification is needed other than this SOQ for the projects needing immediate contracting.](#)

80. Question: The stated page limit is 20 one-sided pages. Does that apply even a firm wants to submit on more than one category? Could the agency please extend the page limit if a firm wants to submit on more than one category?

Answer: [Please refer to response for question #1 above.](#)

81. Question: Can covers and tabs be used, and would they be excluded from the page count?

Answer: [Please refer to the response to question #6 above.](#)

- 82. Question:** Are resumes and the table of contents excluded from the 20-page limit?
Answer: [Please refer to the responses to questions #5 and #9 above.](#)
- 83. Question:** Where within the submittal should the Attachment I – Statement of Qualifications Submission Cover Page be placed?
Answer: [Please refer to the response to question #19 above.](#)
- 84. Question:** Where within the submittal should the Attachment III – Acknowledgement of Addenda be placed? Is it appropriate to include in the “Additional Required Documents” section?
Answer: [Please refer to the response to question #4 above.](#)
- 85. Question:** Where within the submittal should the Attachment I. – Disadvantaged Business Enterprise (DBE) Participation Schedule? Is it appropriate to include in the “Additional Required Documents” section?
Answer: [Please refer to the response to question #4 above.](#)
- 86. Question:** Please confirm qualification item Number 6 – Title & Escrow Companies for Right of Way Professional Services on Page 20 of the RFQ, requiring Consultant to be “a title insurance company or an underwritten title company properly licensed to operate in the Counties of Santa Clara and Alameda for at least two (2) years within the past five (5) years.” Does this apply to a prime or subconsultant which is performing this work? Should the counties of operation be expanded or limited to those applicable to projects in this RFQ?
Answer: [Please refer to Attachment 2 of this Addendum, page 20 for amended language.](#)
- 87. Question:** Under Category A, is it possible to submit on a specific bullet (i.e., Preliminary Design only), or is it expected that a firm be able to cover every bullet point under Category A?
Answer: [PA&ED and PS&E are the only bullets under Category A that can be responded to individually.](#)
- 88. Question:** For the digital copy, would it be permissible to submit on a USB flash drive instead of a CD?
Answer: [Please refer to the response to question #22 above.](#)
- 89. Question:** Should Attachment I (Statement of Qualifications Submission Cover Page) be included as an Appendix at the back of the proposal? If so, should the second Attachment I (DBE Participation Schedule) be listed after or before?
Answer: [Please refer to the responses to questions #4 and #26 above.](#)

90. Question: On Attachment V, is it intended for all 25 pages to be included in the response, or is it acceptable to include the signature page only?

Answer: [Please refer to the response to question #33 above.](#)

91. Question: Is the Table of Contents included in the 20-page limit?

Answer: [Please refer to the response to question #5 above.](#)

92. Question: Are resumes included in the 20-page limit, or can they be included in an appendix?

Answer: [Please refer to the response to question #9 above.](#)

93. Question: On page 33 of the RFQ Article Q, discusses conflicts, If a firm is priming category D and is a sub on Category C is it SJRRC's belief there may be a conflict or are do these categories not create a conflict?

Answer: [If any firm/individual is approving plans for a project, they cannot perform CM for that same project. As a Prime and Sub a firm/individual may be able to work on separate projects without a potential conflict. Potential conflicts of interest will be evaluated on a case-by-case basis and on a project-by-project basis.](#)

94. Question: Is there any conflict with the current participants in the EIR and any categories of this RFQ?

Answer: [No. Potential conflicts of interest will be evaluated on a case-by-case basis. If design work is done by a firm/individual on a project they cannot perform CM on the same project.](#)

95. Question: When proposing on Category C or D for the cost portion, We understand that the SJRRC is only looking for labors rates and not a full fee estimate at this time, only after a work authorization, is this understanding correct?

Answer: [Please refer to the response to question #10 above.](#)

96. Question: Does SJRRC want to see subconsultant experience, and if so, should this be included under D. Experience and Technical Competence, or should it be included in Section XI. Proposer's Responsibility Statement?

Answer: [Please refer to the responses to questions #9, #17, #18, #24 and #61 above.](#)

97. Question: On page 12, paragraph 2 states that immediate pricing is required for the 15 listed projects on pages 12 and 13 "along with their needed immediate professional and project development services." However, on page 28, Paragraph D. Cost Proposals (Project Specific) it is stated that, in summary, not-to-exceed pricing applies to the project development phase of these projects, implying that Category D

– On-Call Rail Engineering Services are not included in this pricing. Does Category D, aka “ES” services fall in the not-to-exceed pricing requirement, given that it would be entirely subjective to estimate the extent and duration for projects that will be defined at a later date. Please clarify.

Answer: [Please refer to the response to question #10 above. Please refer to Attachment 2 of this Addendum, page 28.](#)

98. Question: Can a firm choose to go after a specific group of projects, for example just the bridge-related projects or just the station-related projects, under a single qualifications submission?

Answer: [Please refer to the responses to questions #1 and #26 above.](#)

99. Question: Due to the 20-page one-sided page limit specified in Section, VI. Request for Qualifications Content and Form, may resumes be included in an appendix?

Answer: [Please refer to the response to question #9 above.](#)

100. Question: Please confirm we are to include all Right of Way services (appraisal, acquisition, etc.) in our cost proposal for all projects listing RW in Section III. Purpose of the RFQ.

Answer: [Yes. Please refer to Attachments 2 and 4 of this Addendum.](#)

101. Question: Will exhibits that include right of way impacts and APN’s (Assessor Parcel Numbers) that match the exact project names listed in Section III. Purpose of the RFQ, be made available?

Answer: [No other exhibits will be provided other than those included in the RFQ and the EIR links provided.](#)

102. Question: Are Item B. Table of Contents and tabs for organization excluded from the page count?

Answer: [Please refer to the responses to questions #5 and #6 above.](#)

103. Question: For the CM projects, what type of cost proposal are you looking since working days and full scope are unknown at this time, or are you looking for a rate sheet for the basis of further negotiations?

Answer: [Please refer to the response for question #10 above.](#)

104. Question: Staff augmentation roles for program management which is similar to the Rail Engineering Support Services (ES) have been considered a conflict of interest for Construction Management Services on past projects. If a firm is selected for “Rail Engineering Support Services” will they be precluded from working on “Construction Management Services” due to conflict of interest?

Answer: [Please refer to the responses for questions #46, #93 and #94 above.](#)

105. Question: Does the support of this On-Call Professional Consulting Services require that companies provide or be certified to meet Cyber Security Requirements such as:

NERC/FERC Compliance

SSAE No. 16 SOC2 Type II

ISO/IEC 27001

ISO/IEC 27002

NIST 800-53 Revision 4 Standards

Answer: [None are required but proposers may list if they comply with any of the above.](#)

106. Question: What system or program, if any, is currently being used for Construction Management?

Answer: [SJRRC/SJJPA does not utilize a Construction Management system. Proposers may list what they use.](#)

107. Question: On Page 29, it states to complete Section XI Proposer's Responsibility Statement and submit with the qualifications. On Page 62 at the top of Section XI Proposer's Responsibility Statement, it states "this form shall be placed in a separate envelope". Should this form be submitted with the qualifications proposal (cover letter, table of contents, consultant background, etc.) or in a separate envelope? If it should be submitted in a separate envelope, should there be three parts to the submittal (1. Qualifications Proposal, 2. Sealed Envelope with Section XI, 3. Sealed Envelope with Cost Proposal)?

Answer: [Please refer to the response for question #4 above. Please refer to Attachment 4 of this Addendum.](#)

108. Question: Please confirm where Section XII Exhibit 10K is to be included (1. Qualifications Proposal, 2. Sealed Envelope with Section XI (if applicable per previous questions), 3. Sealed Envelope with Cost Proposal).

Answer: [Please refer to the response for question #4 above.](#)

109. Question: If project specific fees are being submitted (10-H1), should the prime and subconsultants also submit a 10-H2 for the on-call portion?

Answer: [Please refer to the responses to questions #16 and #28 above.](#)

110. Question: Page 5 of the RFQ mentions that State funding has been received for the projects. Is there an SBE % goal associated with the State funding that consultant teams must meet?

Answer: [Proposers are encouraged to refer to page 32 of the RFQ.](#)

111. Question: Page 11 of the RFQ lists four projects that may be funded in part by the Federal Transit Administration. If federal funds are secured, will there be a DBE % goal that consultant teams will have to meet?

Answer: [Proposers are encouraged to refer to page 32 of the RFQ.](#)

112. Question: Page 12 of the RFQ identifies 15 early action projects. Is a separate SOQ and Exhibit 10-H(1-3) required for each of the early action projects that a consultant team wishes to be considered for?

Answer: [Please refer to responses for questions #1, #4 and #10 above.](#)

113. Question: Page 12 of the RFQ identifies 15 early action projects. The scope and location of some of these projects is not defined in the RFQ and its reference documents. Is an Exhibit 10-H(1-3) required for these projects?

Answer: [Please refer to responses for questions #1, #4 and #10 above.](#)

114. Question: Page 40 of the RFQ, Section VIII Insurance Requirements, Paragraph D Umbrella/Excess Liability Coverage, requires umbrella/excess liability coverage limits of \$10,000,000. Would SJRRC consider a lower policy limit, for example \$5,000,000, for the smaller projects?

Answer: [Please refer to the response for question #21 above.](#)

115. Question: Page 41 of the RFQ, Section VIII Insurance Requirements, Paragraph E Professional Liability Insurance, requires professional liability limits of \$5,000,000. Would SJRRC consider a lower policy limit, for example \$3,000,000, for the smaller projects or for certain subconsultants?

Answer: [Please refer to the response for question #21 above.](#)

116. Question: Page 56, SJRRC Standard Form of Contract, Paragraph 12.1.2, the limits for Umbrella/Excess Liability Insurance are in conflict with the umbrella/excess liability coverage limits shown on Page 40 (\$5,000,000 vs. \$10,000,000, see Question 5 above), please clarify.

Answer: [Please refer to the response for question #21 above.](#)

117. Question: Page 2 of the RFQ indicates proposers are to submit one (1) original, six (6) bound copies and one (1) digital copy of CD format. Please confirm if the one (1) original should be bound or unbound.

Answer: [Please refer to the response to question #22 above.](#)

118. Question: Page 62, Section XI. Proposer's Responsibility Statement indicates in red "(This form shall be placed in a separate envelope)." Should Attachment III. Acknowledgement of Addenda (page 80), Attachment I. DBE Participation Schedule (page 94), and Attachment V. Department of Transportation and Federal Transit Administration Contract Clauses (page 97) also be placed in the same separate envelope as Section XI. Proposer's Responsibility Statement or should they be

included in the proposal as “Additional Required Documents” and added to the list on page 29 of the RFQ?

Answer: [Please refer to the response to question #4 above.](#)

119. Question: Page 27 Section VI. E. Project Organizational and Key Personnel states describe similar studies the team has completed. Describe the role of the project manager and key staff in those studies. Can you please clarify which studies you are referring to as it pertains to construction management services?

Answer: [Please refer to Attachment 2 of this Addendum, page 27.](#)

120. Question: Section VIII. Insurance Requirements of the RFQ include significantly higher limits than the insurance provisions included in Article 12 Insurance of Section X. SJRRC Standard Form Contract. Please clarify which insurance requirements apply noting that the limits specified in Section VIII will be difficult for small and disadvantaged business enterprises to obtain without incurring additional costs and possibly having to change insurance carriers.

Answer: [Please refer to the response for question #21 above.](#)

121. Question: Section VIII. Insurance Requirements specifies \$5M per occurrence for Professional Liability, if required. Please clarify whether professional liability insurance will be required, and if so, for which specific scope items?

Answer: [Please refer to the response for question #21 above.](#)

122. Question: Please confirm that we are not required to submit a cost proposal if we are not pursuing an immediate project need identified on page 12.

Answer: [Please refer to the response to question #11 above.](#)

Attachment 2
Addendum 01 to RFQ 19-C668
Revision 01 to Pages 1-29

REQUEST FOR QUALIFICATIONS

**ON-CALL PROFESSIONAL CONSULTING SERVICES FOR CAPITAL PROJECTS
PROGRAM**

Attached is a Request for Qualifications (RFQ) to select firms to assist the San Joaquin Regional Rail Commission (SJRRRC) and San Joaquin Joint Powers Authority (SJJPA) with On-Call Professional Consulting Services for the Capital Projects Program. Although there are specific projects identified for immediate contracting in this RFQ, the services required are for a five (5) year period for those projects plus other projects requiring similar professional consulting services as needed.

The professional services being solicited for this RFQ consist of the following categories:

- A. Project Development Services
 - Preparation of Project Approval/Environmental Documents (PA&ED)
 - Environmental Assessments
 - Preliminary Design
 - Preparation of Final Plans, Specifications, and Estimates (PS&E)
- B. Right of Way Professional Services (RW)
- C. Construction Management Services (CM)
- D. Rail Engineering Support Services (ES)

Please note that based on qualifications and expertise, interested individuals or firms may respond to multiple categories or a single category that is more applicable to a specific area of professional of services.

Those firms or individuals intending to submit Statement of Qualifications (SOQ) should note the schedule contained in the attached document. It is the intention of SJRRRC and SJJPA, subject to Board approval, to have the selected firm(s) under contract by April 2019.

Also attached for your reference are the draft Scope of Services, lists of projects for potential immediate contracting, and SOQ submission guidelines. Please check the website for schedule updates.

One (1) original, six (6) bound copies and one (1) digital copy of CD format of the SOQ shall be submitted to SJRRC's office by 2:00 P.M., February 15, 2019.

All communications related to this RFQ are to be directed only to the Contracts and Compliance Department. Contact with SJRRC/SJPA staff or their representatives not authorized by the Contracts and Compliance Department may be grounds for disqualification of the SOQ.

San Joaquin Regional Rail Commission
Attn: Contracts and Compliance Department
949 E. Channel Street
Stockton, CA 95202
(209) 944-6236 or (209) 944-6237
RFP@ACERAIL.COM

Dated: January 15, 2019

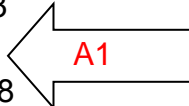
RFQ No: 19-C668



Autumn Gowan
Contracts and Compliance Assistant

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I. INTRODUCTION

The San Joaquin Regional Rail Commission (SJRRRC) operates and maintains the commuter train passenger service known as the Altamont Corridor Express (ACE). The San Joaquin Joint Powers Authority (SJJPA) is responsible for the governance and management of the intercity passenger service known as the *San Joaquins* in the San Joaquin Corridor (Bakersfield-Fresno-Modesto-Stockton-Sacramento-Oakland).

SJRRRC is the managing agency for SJJPA and is responsible for planning, developing, managing passenger rail, connecting buses, stations, maintenance, parking, and rolling stock procurement. As the Managing Agency, SJRRRC provides staffing, and other services to support the SJJPA. SJJPA adopted the policies and procedures of the SJRRRC including the SJRRRC Procurement Manual that complies with local, state, regional and federal funding purchasing requirements. SJRRRC and SJJPA have separate Boards of Directors and joint powers agreements with members from multiple municipalities and county governments. The majority of the projects listed will be contracted through SJRRRC. Any projects contracted under SJJPA will be approved by that governing Board.

SJRRRC is soliciting qualifications from Proposers to assist with On-Call Professional Services for the Capital Projects Program for rail projects associated with both the SJRRRC and SJJPA. On-Call Professional Consulting Services consist of the following categories and contracts will be awarded on a project-by-project basis:

- A. Project Development Services
 - Preparation of Project Reports/Environmental Documents (PA&ED)
 - Environmental Assessments/Preliminary Design
 - Preparation of Final Plans, Specifications, and Estimates (PS&E)
- B. Right of Way Professional Services (RW)
- C. Construction Management Professional Services (CM)
- D. Rail Engineering Support Services (ES)

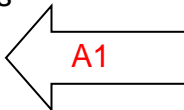
END OF SECTION

II. BACKGROUND

SJRRC/SJJPA's Capital Project Program is comprised of many projects throughout the San Joaquin Valley, including some in Alameda County. The passage of both SB 1 and SB 132 in March 2017 created an unprecedented opportunity for SJRRC/SJJPA to increase both commuter and intercity passenger rail services. In January 2018 SJRRC/SJJPA submitted a Transit Intercity Rail Capital Program (TIRCP) Application identified as the Valley Rail Project. SJRRC/SJJPA was successfully awarded \$500.5 million by the State in April 2018.

The Valley Rail Project Sacramento Extension from Lathrop to Natomas (SB 1/TIRCP) includes the ACE Extension Lathrop to Merced (SB 132). The Sacramento extension uniquely combines both the ACE and Amtrak San Joaquins service to operate on the Union Pacific Railroad's Sacramento Subdivision. SJRRC/SJJPA's Valley Rail Project and other associated rail improvements will ultimately create a transformative integrated network rail passenger service from the San Joaquin Valley to the Bay Area.

These projects and their ~~needed~~ **anticipated** ~~project development~~ **consulting** services **over the next five (5) years** include the following:



1. North Lathrop Transfer Station at Sharpe Army Depot (PS&E, RW, CM, & ES)

Information about the project can be located within the Environmental Impact Report, available for download at the website below:

<https://www.acerail.com/About/Projects-Initiatives/Current/ACE-Extension-Lathrop-to-Ceres-Merced/Draft-Environmental-Impact-Report>

2. Lathrop Wye Connection (PS&E, RW, CM, & ES)

Information about the project can be located within the Environmental Impact Report, available for download at the website below:

<https://www.acerail.com/About/Projects-Initiatives/Current/ACE-Extension-Lathrop-to-Ceres-Merced/Draft-Environmental-Impact-Report>

3. Manteca Transit Center Platform (PS&E, RW, CM, & ES)

Information about the project can be located within the Environmental Impact Report, available for download at the website below:

<https://www.acerail.com/About/Projects-Initiatives/Current/ACE-Extension-Lathrop-to-Ceres-Merced/Draft-Environmental-Impact-Report>

4. Modesto Station Platform (PS&E, RW, CM, & ES)

Information about the project can be located within the Environmental Impact Report, available for download at the website below:

<https://www.acerail.com/About/Projects-Initiatives/Current/ACE-Extension-Lathrop-to-Ceres-Merced/Draft-Environmental-Impact-Report>

5. Lodi Station (Preliminary Design, RW, CM, & ES)

As described below, there are two variants under consideration for the Lodi Station. Both of the proposed variants would be west of the City. Please refer to conceptual designs of the Lodi Station variants found in Attachment IV.

Lodi Station Variant 1

Under Variant 1, the Lodi Station would be constructed along the south side of West Highway 12 just east of the existing UPRR at-grade crossing. Access to the station would be provided from West Highway 12 and from Devries Road. The station would include a passenger platform, a bus drop-off/pick-up area, new station track, and parking for approximately 478 vehicles. The new station track would include a new at-grade crossing parallel to the existing UPRR at-grade crossing at West Highway 12. The site proposed for Lodi Station Variant 1 is currently being used for agricultural purposes.

Lodi Station Variant 2

Under Variant 2, the Lodi Station would be constructed along the north side of West Harney Lane just east of the UPRR at-grade crossing. Access to the station would be provided from West Harney Lane and from Devries Road. The station would include a passenger platform, a bus drop-off/pick-up area, new station track, and parking for approximately 436 vehicles. The new station track would include two new at-grade crossings parallel to the existing UPRR at-grade crossings; one at West Harney Lane and one at Devries Road. The site proposed for Lodi Station Variant 2 is currently being used for agricultural purposes.

6. Old North Sacramento Station (Preliminary Design, RW, CM, & ES)

The Old North Sacramento Station would be constructed along the west side of Acoma Street just north of El Monte Avenue in Sacramento. The proposed station would include a passenger platform, a bus drop-off/pick-up area, new station track, and parking for approximately 352 vehicles. The new station track would include an at-grade crossing for the existing Sacramento/Northern Bike Trail which runs along the north side of the site. The site proposed for the Old North Sacramento Station is currently developed for commercial/industrial uses. Please refer to conceptual designs of the Old North Sacramento Station found in Attachment IV.

7. City College Station (Preliminary Design, RW, CM, & ES)

The City College Station would be constructed adjacent to the existing Sacramento Regional Transit District (SacRT) City College light rail station north of Sutterville Road in Sacramento. The proposed station would consist of a new platform and tracks for ACE trains within the existing station area. This new platform would allow for platform-to-platform transfers to and from light rail trains. No expansion of existing parking or bus facilities are proposed as part of the proposed City College Station. Please see the attached conceptual design of the City College Station.

8. Ceres Station (PS&E, RW, CM, & ES)

Information about the project can be located within the Environmental Impact Report, available for download at the website below:

<https://www.acerail.com/About/Projects-Initiatives/Current/ACE-Extension-Lathrop-to-Ceres-Merced/Draft-Environmental-Impact-Report>

9. Ceres Layover Facility (PS&E, RW, CM, & ES)

Information about the project can be located within the Environmental Impact Report, available for download at the website below:

<https://www.acerail.com/About/Projects-Initiatives/Current/ACE-Extension-Lathrop-to-Ceres-Merced/Draft-Environmental-Impact-Report>

10. Ripon Station Platform (PS&E, RW, CM, & ES)

Information about the project can be located within the Environmental Impact Report, available for download at the website below:

<https://www.acerail.com/About/Projects-Initiatives/Current/ACE-Extension-Lathrop-to-Ceres-Merced/Draft-Environmental-Impact-Report>

11. San Joaquin River Bridge (PS&E, Permits, RW, CM, & ES)

Information about the project can be located within the Environmental Impact Report, available for download at the website below:

<https://www.acerail.com/About/Projects-Initiatives/Current/ACE-Extension-Lathrop-to-Ceres-Merced/Draft-Environmental-Impact-Report>

12. Tuolumne River Bridge (PS&E, Permits, RW, CM, & ES)

Information about the project can be located within the Environmental Impact Report, available for download at the website below:

<https://www.acerail.com/About/Projects-Initiatives/Current/ACE-Extension-Lathrop-to-Ceres-Merced/Draft-Environmental-Impact-Report>

13. Merced Station Parking Lot (PS&E, RW, CM & ES)

The Merced Parking Lot will see the construction of a new parking lot near the Merced Station. The project will include pavement, curb, gutters, sidewalk, and fencing. Scope will also include right-of-way acquisition for the 43,560 square foot lot. The new parking lot will contain approximately 80 spaces.

14. Robert J. Cabral Station Expansion (PS&E, RW, CM, & ES)

Construct park and ride lot and related on-street parking, sidewalks, lighting, security, and other passenger amenity improvements at the old Western Pacific Depot, near Robert J. Cabral Station in Stockton.

15. Tracy ACE Station Improvements (PA&ED, PS&E, RW, CM, & ES)

Upgrade existing facilities at the Tracy ACE Station, including passenger amenities, equipment, and parking.

16. North Elk Grove Station (Preliminary Design, RW, CM, & ES)

The North Elk Grove Station would be constructed beneath the Cosumnes Boulevard/Morrison Creek overpass near the existing SacRT Franklin light rail station. Access to the station would be provided by a frontage road to be constructed just south of Cosumnes Boulevard and would connect either with a new intersection west of or at the Light Rail Station Intersection. The station would include a passenger platform, a bus drop-off/pick-up area, new station track, and parking for approximately 700 vehicles. The site proposed for the North Elk Grove Station is undeveloped property currently owned by the Sacramento Regional County Sanitation District (SRCSD). Please refer to conceptual designs of the North Elk Grove Station found in Attachment IV.

17. Natomas/Sacramento Airport Station and Layover Facility (Preliminary Design, RW, CM, & ES)

As described below, there are two variants under consideration for the Natomas/Sacramento Airport Station. Both station variants would include a 12- to 14-minute shuttle connection to and from Sacramento International Airport (SMF). Please refer to conceptual designs of the Natomas/Sacramento Airport Station variants found in Attachment IV. Although not shown in the conceptual designs, a Layover Facility will be constructed at the location of the Natomas/Sacramento Airport Station.

[Natomas/Sacramento Airport Station Variant 1](#)

Under Variant 1, the Natomas/Sacramento Airport Station would be constructed along the east side of Blacktop Road just south of West Elkhorn Boulevard. Access to the station would be provided along Blacktop Road. The station would include a passenger platform, a bus drop-off/pick-up area, new station track, and parking for approximately

255 vehicles. The new station track would include a new at-grade crossing parallel to the existing UPRR at-grade crossing at West Elkhorn Road. The site proposed for Natomas/Sacramento Airport Station Variant 1 is currently developed with commercial/industrial uses.

Natomas/Sacramento Airport Station Variant 2

Under Variant 2, the Natomas/Sacramento Airport Station would be constructed south of West Elkhorn Boulevard just east of the UPRR at-grade crossing. Access to the station would be provided along West Elkhorn Boulevard. The station would include a passenger platform, a bus drop-off/pick-up area, new station track, and parking for approximately 249 vehicles. The new station track would include a new at-grade crossing parallel to the existing UPRR at-grade crossing at West Elkhorn Road. The site proposed for Natomas/Sacramento Airport Station Variant 2 is currently paved and used for parking.

18. Rail Track Improvements (PS&E, RW, CM, & ES)

Construction of track infrastructure and associated components are required for the extension of service. Track work associated with the Ceres/Merced Extension can be located within the Environmental Impact Report, available for download at the website below:

<https://www.acerail.com/About/Projects-Initiatives/Current/ACE-Extension-Lathrop-to-Ceres-Merced/Draft-Environmental-Impact-Report>

The Sacramento Extension from Lathrop to Natomas will also involve additional track infrastructure, including new station and siding track, track resurfacing, and other improvements to be determined, along the Sacramento Subdivision between Stockton and Natomas.

19. Stockton Cabral Track Extension (RW, CM & ES)

Construction of approximately 4,300 feet of new station track north of the Stockton Cabral Station, from Mile Post (MP) 82.97 to MP 83.82 of the UPRR Fresno Subdivision. The project includes the construction of a ninety (90) foot, single track bridge structure over Harding Way, in Stockton.

20. Stockton Wye (PA&ED, PS&E, RW, CM, & ES)

The Stockton Wye will see the installation of new track in the northwest quadrant intersection of the UP Fresno Subdivision and BNSF Stockton Subdivision. The project will include new track installation, as well as track shifting and resurfacing; wood and concrete ties; and signal, pavement, curb, gutter, sidewalk, and fencing installation.

Scope will also include track, sidewalk, and fencing removal; and right-of-way acquisition on Scotts Avenue and Union Street.

21. Final EIR ACE Extension Ceres to Merced (PA&ED, RW, CM, & ES)

See ACE FEIR Program Level Environmental Document (Phase II). Information about the project can be located within the Environmental Impact Report, available for download at the website below:

<https://www.acerail.com/About/Projects-Initiatives/Current/ACE-Extension-Lathrop-to-Ceres-Merced/Draft-Environmental-Impact-Report>

22. Sunol Quiet Zone Quad Gates (PS&E, RW, CM, & ES)

Four Quadrant Gates Main Street Sunol. Prepare Final Design Right of Way Certification.

23. Fremont Platform Extension (PA&ED, PS&E, RW, CM, & ES)

The Fremont Platform Extension will see the construction of a 400-foot extension of the platform at the Fremont ACE Station. All physical construction will occur within Union Pacific Railroad (UP) right-of-way. The project will also include coordination with UP and the City of Fremont, as needed. Once constructed, the Fremont Platform Extension will allow ACE to run up to ten-car trainsets. Currently, ACE operates one (1) 6-car trainset, two (2) 7-car trainsets, and one (1) 5-car trainset. By lengthening the platform at the Fremont Station, ACE service will be able to accommodate additional passengers with longer trainsets. Each railcar that is added to a train increases capacity by approximately 134 seats.

24. Stockton Interlock BNSF/UPRR Grade Separation Project (PA&ED, PS&E, RW, CM, & ES)

The project involves the construction of a grade separation at the intersection of the BNSF Stockton Subdivision and the Union Pacific (UP) Fresno Subdivision, in south Stockton. Please refer to the draft Stockton grade separation concept found in Attachment IV.

25. Madera Station Relocation (PA&ED, RW, CM & ES)

The proposed relocated Madera Station will be just north of the new Avenue 12 grade separation. This proposed site has excellent access to SR-99, and Avenue 12 is a primary transit corridor for Madera County. The project includes a station track, platform, parking, bus facility, and an access road connecting south to Avenue 12. Please refer to conceptual designs of the potential relocated Madera Station found in Attachment IV.

26. Stockton Regional Rail Maintenance Facility Expansion (Preliminary Design & PS&E, RW, CM & ES)

The scope of the project includes the expansion of the existing ACE Rail Maintenance Facility (RMF) building or the construction of auxiliary infrastructure on the RMF property. Improvements for the proposed project include a storage building, new and tie-in track, and additional maintenance equipment. The Stockton Regional Rail Maintenance Facility Expansion would allow for the repair, maintenance, and storage of new Siemens equipment for the San Joaquins, anticipated for delivery in 2022.

27. East Channel Street Streetscape and Connectivity Project (CM)

Project will complete improvements along East Channel Street between North Aurora and a ½ block west of North Stanislaus Street. Improvements will include new sidewalks, curb ramps, bulb-outs, street trees, pedestrian-scaled lighting, and road resurfacing.

28. ACE Platform Extension Projects (CM)

Five platforms located at the current ACE stations in Lathrop/Manteca, Tracy, Vasco, Livermore, and Pleasanton are being extended. Extending existing station platforms will promote efficient and safe boarding and alighting of passengers at the stations and will allow for longer trainsets.

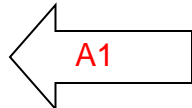
FTA Funding Requirement

The following projects may be financed in part by the Federal Transit Administration (FTA):

- Robert J. Cabral Station Expansion (PS&E, RW, CM, & ES)
- Tracy ACE Station Improvements (PA&ED, PS&E, RW, CM, & ES)
- Stockton Cabral Track Extension (CM & ES)
- Stockton Interlock BNSF/UPRR Grade Separation Project (PA&ED, PS&E, RW, CM, & ES)

Accordingly, federal requirements apply and can be found in Attachments V and VI of this RFQ. Interested firms or individuals submitting qualifications for any of the above projects shall submit Attachment V “DBE Participation Schedule” with their Statement of Qualifications and be able to comply Attachment VI “DOT & FTA Clauses”.

END OF SECTION



III. PURPOSE OF THE RFQ

The Valley Rail Project Program consists of multiple projects including but not limited to bridges, new rail track, grade separations, new stations, new parking, and platform improvements. Several of these projects will need to quickly enter into professional services contract to complete project development.

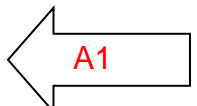
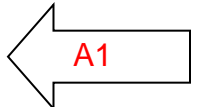
The purpose of SJRRC in issuing this solicitation is to expedite project delivery commitments and condense what would otherwise be multiple Request for Qualifications (RFQ) processes into one SJRRC administered RFQ process to expedite consultant hiring and minimize administrative costs.

The result of this RFQ process will be to:

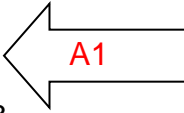
1. Create an established list of pre-qualified consultants for a period of five (5) years. The estimated commencement date is April 5, 2019 with an ending date of June 30, 2024. During the term of the contract, Amendments using Project Scopes of Work, will be used to establish the individual project scope, schedule, deliverables, and cost for each Project Scope and must be signed by SJRRC before work begins. Execution of the Professional Services Contract by a firm and the submission of proposals for Project Scopes of Works do not guarantee the award of any Amendments.

2. Enter into immediate contracts for specific projects. SJRRC intends to select pre-qualified consultants to commence work on specific projects from the abovementioned list of projects as early as April 2019. **These projects along with their needed immediate professional and project development consulting services** are as follows:

- North Lathrop Transfer Station at Sharpe Army Depot (PS&E & RW & ES)
- Lathrop Wye Connection (PS&E, RW & ES)
- Manteca Transit Center Platform (PS&E, RW & ES)
- Modesto Station Platform (PS&E, RW & ES)
- Ceres Station (PS&E, RW & ES)
- Ceres Layover Facility (PS&E, RW & ES)
- Ripon Station Platform (PS&E, RW & ES)
- Robert J. Cabral Station Expansion (PS&E, RW & ES)
- Tracy ACE Station Improvements (PA&ED, PS&E, RW & ES)
- North Elk Grove Station (Preliminary Design & ES)
- Natomas/Sacramento Airport Station and Layover Facility (Preliminary Design & ES)
- Stockton Cabral Track Extension (RW, CM & ES)
- Sunol Quiet Zone Quad Gates (PS&E, RW & ES)



- Fremont Platform Extension (PA&ED, PS&E, RW & ES)
- **Madera Station Relocation (PA&ED)**
- Stockton Regional Rail Maintenance Facility Expansion (Preliminary Design & PS&E)



Schedule

Activity	Date
RFQ Distributed	January 15, 2019
Questions & Requests for Clarifications Due	January 28, 2019 by 2:00 PM
Answers Posted on Website	February 7, 2019
Submittal Deadline	February 15, 2019 by 2:00 PM
Notification for Interviews	Week of February 25,, 2019
Proposers Interviews	Week of March 11, 2019
SJRRC Approves Pre-Qualified Proposer List and Releases Notice of Intent for Awarded Projects	March 25, 2019

Inquiries

All questions regarding the RFQ shall be received in writing via email, or mail no later than January 28, 2019 by 2:00 PM Pacific Time addressed to:

San Joaquin Regional Rail Commission
 Attn: Contracts and Compliance Department
 949 East Channel Street
 Stockton, CA 95202
 Email: RFP@acerail.com

Response to questions will be posted on the ACE Rail website at <https://www.acerail.com/About/Contract-Opportunities> on or before February 7, 2019. Proposers are encouraged to regularly check the website for updates relating to this RFQ.

Distribution of and Addenda to the RFQ

This RFQ is posted on the ACE Rail website only at the following address:

<https://www.acerail.com/About/Contract-Opportunities>

Consultants wishing to submit SOQs in response to this RFP must obtain this document from the ACE Rail website. Due to the fact that anyone can download the RFQ and SJRRC has no method of tracking the distribution of this RFQ, SJRRC requests that prospective consultants email their contact information to the Contracts and Compliance Department at RFP@acerail.com.

SJRRC reserves the right to amend or modify the RFP at any time. Any amendments or modifications of RFP shall be described in written addenda. Notification of the addenda will be posted on the ACE Rail website at the link above. Proposers are strongly encouraged to check the website frequently for responses to all inquiries, addenda, and/or any updates to the RFP. Failure of any prospective Proposer to receive the notification or addenda shall not relieve proposer from any obligation under the RFP as clarified, interpreted, or modified. All addenda issued shall become part of the RFP. Proposers shall acknowledge the receipt of each individual addendum in their Proposals on the form Attachment III. Failure to acknowledge in their Proposals receipt of addenda may, at SJRRC's sole option, deem the proposal non-responsive and shall be rejected.

If SJRRC determines that the addenda may require significant changes in the preparation of Proposals, then the deadline for submitting the Proposals may be postponed. Any new Due Dates shall be included in the addenda.

Project Schedule

The time for performance by the successful proposer shall start within Fifteen (15) days after the issuance of a Notice to Proceed.

Contract Type

Each project will have an identified "not-to-exceed" amount applicable to the funding source in the contract or Project Scope of Work.

Contract Dollar Amount

The maximum total contract dollar amount will vary by project. The amounts range depending on scope of services needed, capital needs and as determined by funding source.

Number of On-Call Consultants

The total number of on-call contracts awarded will depend on the quantity, quality and diversity of the SOQs received.

END OF SECTION

IV. SCOPE OF SERVICES

Consultants submitting qualifications shall be able to provide the services per project or team with other firms to provide the services. Consultants shall be responsible for specific services leading to completion the tasks they are contracted for. These services include, but are not limited to:

- Project Approval/Environmental Documents (PA&ED) or Preliminary Design.
- Project Specifications and Estimates (PS&E), Final Design and Permits.
- Right of Way Professional Services, (RW) Right of Way Acquisition/Certification.
- Construction Management (CM) On Call preparation for project construction.
- Rail Engineering Services (ES), Staff augmentation to assist SJRRC with project delivery.

A kick-off meeting will be held for the introduction of staff, roles and responsibilities, establishment of communication channels, clarification of procedures and formats to be used and discussion of design considerations. Project meetings will be held, at a minimum, monthly for the review of project status and the determination of need actions. The consultant shall prepare all meeting notices, agenda and minutes.

The aforementioned projects, descriptions are as follows:

A. PROJECT DEVELOPMENT SERVICES

Project Approval and Environmental Documents (PA&ED) or Preliminary Design

Proposer shall be required to perform the professional and technical engineering services necessary to provide preliminary design and environmental services (PA&ED) for the project. Typical deliverables will include CEQA (and/or NEPA) documents and determinations including but not limited to:

- Preparation of all required environmental studies and documentation necessary for CEQA/NEPA approvals.
- Completion of Preliminary Design.
- Environmental Re-Evaluations.
- Railroad Agreement support.
- Mitigation Monitoring During Construction.
- Preparation and/or peer review of technical environmental reports and analyses.
- Support requiring expertise related to air quality, noise studies, hazardous waste, environmental justice, biological issues/assessments, cultural

issues, water quality, visual/aesthetic resources, land use issues and other specialties as needed resource and regulatory permits etc.).

- Public hearings, map showings, and public outreach support for and CEQA/NEPA approvals.
- The station designs are 6.3O compliant. Most stations may include center loading platforms.

Plans, Specifications, and Estimates (PS&E)

Proposers selected will prepare the project final design Plans, Specifications, and Estimates (PS&E) culminating in a construction bid package provide a completed Ready to List PS&E package for the project. Compatible Plans, Specifications, and Estimates (PS&E) that can be issued for each project as a single construction contract. SJRRC/SJPA is expected to be the lead agency for the Advertising, Award, and Administration (AAA) of these project construction contracts. PS&E work includes but is not limited to:

- Preparations and submittal of PS&E phase of State, Local, and Federal-aid projects– plans shall include but not limited to:
- Typical specifications including cross sections, limits of construction, and general layout and features.
- Railroad C&M Agreements
 - Estimated quantities and construction impacts identified throughout Final Design (Roadway, Structure, Landscape); any Public Outreach impacts.
 - Design/Engineering Permits/Surveys.
 - Supplemental Environmental Documents/Determinations as needed.
- Using MicroStation or other applicable formats for electronic submittals.
- Perform right-of-way engineering and general all needed documents that will allow agencies to appraise and acquire new R/W and easements.
- Provision of 100% PS&E for biddable plan set for Ready to List (RTL).
- Office Engineer support during advertise period.
- Design Support during Construction and As Builts.
- Quality Assurance/Quality Control review.
- Related public outreach activities.
- The station designs are 6.3O compliant. Most stations may include center loading platforms.

B. RIGHT OF WAY PROFESSIONAL SERVICES

Following are the Minimum and General Qualifications for the following areas of Real Estate Services:

1) Acquisition and Eminent Domain

General Qualifications:

- Possess a valid Real Estate Broker's or Salesperson's License as issued by the California Department of Real Estate.
- Have a minimum two (2) years' experience at the working level in the acquisition of rights for eminent domain purposes.
- Have a working knowledge of:
 - Uniform Relocation and Real Property Acquisition Policies Act of 1970 as amended
 - Title 49 Code of Federal Regulations (CFR) Part 24
 - California Government Code Section 7267 et seq.
 - California Code of Civil Procedure Sections 1263.010 to 1263.620 and 1255.010 to 1255.060
 - California Housing and Community Development Title 25
 - Caltrans Right of Way Manual – Chapter 8 – Acquisition

2) Relocation Assistance:

General Qualifications:

- The Proposer shall have sufficient experience in and comprehensive knowledge of Real Estate Relocation Assistance services.
- The Proposer shall possess knowledge of regulations and codes regarding Relocation Assistance services and shall be familiar with local conditions relating to Relocation Assistance services.

Special Qualifications:

- Have a minimum two (2) years' experience at the working level providing public agency relocation assistance for residential and nonresidential occupants in compliance with government agency requirements, such as Caltrans, Department of Housing and Community Development, Federal Transit Administration and Federal Highway Administration.

- Have knowledge of the Uniform Relocation and Real Property Acquisitions and Policies Act of 1970, as amended, Title 49 Code of Federal Regulations (CFR) Part 24, Title VI of the Civil Rights Act (42 U.S.C. 2000d, et seq.), State of California Government Code Section 7267 et seq., California Code of Civil Procedure Sections 1263.010 to 1263.620 and 1255.010 to 1255.060, California Community and Housing Development Title 25, State and Caltrans Right of Way Manual, Chapter 10.

3) Real Property Appraisal and Consulting Services:

- The Consultant and its subconsultants must be licensed as Certified General Real Estate Appraisers, in the State of California, and be in good standing at the time the proposal is submitted. A copy of the license shall be submitted as part of the proposal.
- The Consultant and its subconsultants must have knowledge and at least two years of previous professional experience at the working level in appraising real property interests in compliance with the Uniform Standards of Professional Appraisal Practice, the State and Federal Uniform Relocation Assistance and Real Property Acquisition Policies Act and amendments, Federal Register 49 CFR, Part 24, the California Eminent Domain Law, the Federal Transit Administration and the State of California Department of Transportation appraisal guidelines.
- When appraising real property for a state or federally-funded highway project, the Consultant must have successfully completed courses in the appraisal of partial acquisitions for public agencies, the Uniform Relocation and Real Property Acquisition Policies Act and California Eminent Domain Law taught by a recognized organization.
- The Consultant and its subconsultants shall have sufficient experienced staff possessing comprehensive knowledge and capability listed above. The assigned staff will manage tasks and coordinate their work with SJRRC's staff and other involved Agencies.
- The Consultant and its subconsultants shall be familiar with local conditions relating to real property assessments, right of way regulation, real property rights, and land valuation procedures.
- The Consultant and its subconsultants shall submit a copy of work sample.
- Some of the attributes considered in the selection of the Consultant include quality of performance on previous similar projects, ability to meet time schedules, coordinate effectively with others, and work within budget limitations. The ability of all proposed subconsultants should be clearly documented.

4) Business Goodwill Valuation:

- At least two years of previous professional experience at the working level appraising business goodwill loss in accordance with the California Eminent Domain Law (Code of Civil Procedure Sections 1263.510, 1263.520 and 1236.530) and the State Department of Transportation (Caltrans) requirements.
- Accredited Senior Member designation in the business valuation discipline from the American Society of Appraisers.

5) Appraisal Review:

- The Consultant and its subconsultants must be licensed as Certified General Real Estate Appraisers, in the State of California, and be in good standing at the time the proposal is submitted and shall submit a copy of the license as part of the proposal.
- The Consultant and its subconsultants must have knowledge and at least two years of previous professional experience at the working level in the technical review of appraisals of real property interests in compliance with the Uniform Standards of Professional Appraisal Practice, the State and Federal Uniform Relocation Assistance and Real Property Acquisition Policies Act, Federal Register 49 CFR, Part 24, the California Eminent Domain Law, the Federal Transit Administration and the State of California Department of Transportation appraisal guidelines.
- When reviewing appraisals of real property for a state or federally-funded highway project, the Consultant must have successfully completed courses in the appraisal of partial acquisitions for public agencies, the Uniform Relocation and Real Property Acquisition Policies Act and California Eminent Domain Law taught by a recognized organization.
- The Consultant and its subconsultants shall have sufficient experienced staff possessing comprehensive knowledge and capability listed above. The assigned staff will manage tasks and coordinate their work with VTA staff and other involved Agencies.
- The Consultant and its subconsultants shall be familiar with local conditions relating to real property assessments, right of way regulation, real property rights, and land valuation procedures.
- The Consultant and its subconsultant shall submit a copy of sample work.
- Some of the attributes considered in the selection of the Consultant include quality of performance on previous similar projects, ability to meet time

schedules, coordinate effectively with others, and work within budget limitations. The ability of all proposed subconsultants should be clearly documented.

6) Title and Escrow Companies:

- Consultant must be a title insurance company or an underwritten title company properly licensed to operate in the Counties **where the work is taking place** of ~~Santa Clara and Alameda~~ for at least two (2) years within the past five (5) years. The CONSULTANT shall be authorized to issue title insurance policies and shall be licensed by the California Department of Insurance.
- Consultant shall have sufficient staff with knowledge and experience in land title searches, issuing preliminary reports and providing escrow services
- Consultant proposals will be evaluated by a panel. The evaluation panel will prepare a short list of the consultants or team of consultants submitting the most responsive proposals. They will be invited to interview with the panel and explain their project understanding and delivery methodology. The panel will recommend to the SJRRC Board of Directors award of a contract to the highest ranked consultant or team with another firm as an alternate. Pending negotiations, if an agreement is not reached with the first selected firm, SJRRC will negotiate with the alternate firm.
- Although cost proposals for the services will be important part of the consideration for award of the project, SJRRC will consider the consultant's project understanding and methodology in the award of the project.

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C. CONSTRUCTION MANAGEMENT SERVICES

Construction Management: Consultant shall furnish Construction Management personnel to coordinate Consultant operations with SJRRC. The Construction Manager shall be responsible for all matters related to Consultant personnel and operations. It is recommended that, in addition to a Construction Manager, a single point of contact or Resident Engineer be assigned to direct and coordinate construction activities under this contract. Other Assistant Resident Engineers may be assigned to each specific project responsibilities as needed. Resident Engineer shall be a **Civil** Engineer, registered in the State of California. The Resident Engineer shall be in responsible charge of construction activity within the Project.

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Construction Management and Materials Testing

- Preconstruction Services (includes constructability reviews, Construction Management General Contracting Methods.
- Labor compliance administration (interviews and Certified payroll checking).

- DBE compliance.
- Responsible for ensuring that all work is built to standards defined by the contract documents.
- Monitor and assess project budgets and cost controls.
- Track project progress against schedules and contract duration.
- Resolve through involvement of the lead agency, as necessary, project issues that may cause the scope, cost, or duration to vary.
- Complete contract administration paperwork, including, but not limited to, processing & reviewing Requests for Information, submittals, potential change orders, progress payments, and potential claims.
- Continued coordination with utility companies as it relates to identified conflicts and relocation plans from design phase.
- Public outreach activities.
- Coordinate construction inspection services.
- Construction staking.
- Coordinate and lead weekly progress meetings to discuss and resolve project issues.
- Prepare the final punch list and oversee punch list item resolution.
- Materials testing services includes:
 - Sampling and testing of soils, asphalt concrete, concrete, steel, and other construction material.
 - Evaluation of results and furnishing of testing reports.
 - Inspection of construction activities.

Personnel selected for assignment by Consultant shall be made available for personal interviews prior to acceptance by SJRRC. If, in the opinion of SJRRC, an individual lacks adequate experience, the individual may be rejected or may be accepted on a trial basis until such time the individual's ability to perform the required services has been demonstrated. If, at any time, the performance of Consultant personnel is unsatisfactory to SJRRC, SJRRC may release him/her by written notice and may request another qualified person be assigned.

Duties and Responsibilities

It is noted and acknowledged that the project construction management needs will vary between different projects based on which Agency is responsible for AAA, and that they will be executed under separate ON CALL construction contracts, one per need per project ~~and one for the Landscape Construction~~ as described in the Project Description. Where the terms “Project” and “Contractor” are used herein, they shall apply to both contracts and contractors.

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1. Pre-construction Services
2. Advertise & Award Process
3. Project Administration
4. Construction Coordination
5. Construction Inspection
6. Project Support
7. Cost and Schedule
8. Contract Change Orders and Claims
9. Safety
10. Project Close Out

D. ON-CALL RAIL ENGINEERING SUPPORT SERVICES

Proposer will provide as On-Call consulting services as staff augmentation for SJRRC’s Capital Project Delivery Program. Duties will focus on the delivery of project improvements and expansion program. Typical duties performed, but not limited to include:

- Development of Integrated Project Schedules and Service Implementation Plans.
- Program cost controls
- Organize meetings for the review of project status and the determination of needed actions; prepare meeting notices, agenda and minutes.
- Organization, participation and presentations at meetings held by lead agency staff to neighborhood groups and other stakeholders.
- Coordination with railroad owner/operator’s consultants, and other public agencies.

END OF SECTION

V. CONSULTANT SELECTION PROCESS AND CONTRACTING

Evaluations

Evaluations will be conducted in a two-step process with a total of 120 points maximum. Using the Evaluation Criteria set forth below, SJRRC representatives as determined necessary will conduct a preliminary evaluation and scoring of written statement of qualifications worth up to 100 points.

Pass / Fail Criteria	Pass (Proceed Evaluation)	Fail (Proposal Rejected)
Proposal Received by Due Date		
Cover Letter Signed & Dated		
Cost Proposal Completed & Signed		
All Required Forms Completed & Signed		

Evaluation Criteria	Unweighted Score	Multiplier	Weighted Score
Understanding of the work to be done <ul style="list-style-type: none"> • Demonstrated technical ability 		3.0	
Experience with similar kinds of work		2.5	
Quality of staff for work to be done		2.0	
Familiarity with project regions and Infrastructure		1.5	
Familiarity with state and federal procedures		1.0	

Unweighted Scoring Range				
Excellent	Good	Average	Below Average	Unsatisfactory
10	7	5	3	0

Following the preliminary evaluation and scoring of written statement of qualifications, SJRRC reserves the right to invite the firms or individuals within the competitive range to participate in the final selection process. The final selection process may include

submission of final additional information, presentation, and/or oral interview. If held, interviews are tentatively scheduled on the week of March 11, 2019 at the following location:

San Joaquin Regional Rail Commission
 949 E. Channel Street
 Stockton, CA 95202

Proposers can earn up to an additional 20 points during the presentation and/ or oral interview. The Proposer’s Project Executive and Project Manager, as well as other key personnel identified in the proposal, shall be present and respond to the Selection Panel’s questions.

Interview Criteria	Points
Presentation	10
Questions	10

Excellent	Good	Average	Below Average	Unsatisfactory
10	7	5	3	0

The interview scores will be added to the original scores. The proposers can earn the maximum total of 120 points.

Ranking of Pre-Qualified Consultants

SJRRC intends to establish an On-Call Consultant list comprised of the top consultants of each category. With the combined scores of the written SOQs and interviews, those consultants with the highest scores will be ranked in order of highest to lowest. SJRRC will provide final ranking to all consultants that were invited to interviews.

On-Call Consultants

Firms or individuals that are selected will be pre-qualified for a five year period to provide services to the aforementioned projects and other projects in the Capital Projects Program. If during the five (5) year period, SJRRC or SJJPA wishes to hire off of the pre-qualified list, the top ranked and most qualified consultant for the needed services will be given the project information and asked to submit a proposal for services needed. If for any reason the first consultant is either unable to perform the work due to availability, or if a proposal cannot be agreed upon, SJRRC will continue down the final ranking list.

If an on-call contract is in place between SJRRC/SJJPA and a consultant for needed work, a new Project Scope of Work will be negotiated for the job. All subsequent work under an on-call contract will be authorized by Amendment using the negotiated Project Scope of Work. The Project Scope of Work procedure can be found in Section VII “General Conditions” of this RFQ.

Negotiations and Award for Immediate Projects

The Contracts and Compliance Department may accept the proposal from the firm or individual ranked first without negotiation, or may negotiate in order to establish a precise scope of work, the time and schedule for completion of the work, the price/cost of services, and the method and manner of payment. In the event negotiations with the first ranked are unsuccessful, the Contracts and Compliance Department may terminate negotiations and commence negotiations with the next ranked firm or individual and so on, until a successful negotiation is achieved.

Recommendation

On the basis of the foregoing evaluation method, the Contracts and Compliance Department shall make a recommendation to the Executive Director.

The Contracts and Compliance Department shall send a notice to all proposers advising of the recommendation for contract award and of the date and time of the Board meeting at which the recommendation will be considered.

END OF SECTION

VI. REQUEST FOR QUALIFICATIONS CONTENT AND FORM

The submittal letter should be concise, well organized, and demonstrate the consultant's qualifications, experience applicable to the project, and proposed approach. Statements of Qualifications shall be prepared on 8 ½" x 11" paper in at least 11-point font. Use of 11" x 17" foldout sheets for large tables, charts, or diagrams is permissible but should be limited. There is a ~~20 one-sided~~ **thirty (30) double-sided** page limit, exclusive of the Cover Letter, Cost Proposal, **Resumes**, and Additional Required Forms.

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Letter of Qualification:

A. *Cover Letter* - The cover letter shall include the following information:

- a. Consultant name, address, telephone, and e-mail address of key contact person; and
- b. Description of type of organization (e.g., Sole Proprietor, Partnership Corporation, LLC, etc.) submitting the qualifications including the name of the state in which the company was legally formed; and
- c. If joint venture or other teaming arrangement with two or more parties, name the entity/person that would be the Prime-Contractor for this project as well as the person authorized to negotiate and execute a contract. **Provide written commitment that the Prime will check and verify the subcontractors responsibility to comply with Section XI.**; and
- d. A written statement warranting the acceptance of all requirements of the Project as described in this RFQ and acknowledging receipt of all addenda and dates received; and
- e. A written statement confirming the ability to comply with the insurance requirements; and
- f. A written statement acknowledging the validity of the Proposals, including the Cost Proposal (if submitted), for a period of 120 calendar days after the submission deadline; and
- g. Signature of a person authorized to bind Proposer to the terms and conditions of the proposal.

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- B. *Table of Contents* - The Table of contents shall list all items provided in the proposal submission.
- C. *Consultant Background* - Provide the legal name and address of the consultant's company as well as the address of the office where the project manager will reside and where a majority of the work will be performed. Include the number of years consultant's company has been in business, the legal form of the company and any other relevant information concerning whether the consultant may or may not be financially capable of completing this project.
- D. *Experience and Technical Competence* - Describe the consultant's experience for similar projects and experience with rail project development policies and procedures.
- E. *Project Organizational and Key Personnel* - Describe project organization, including identification and responsibilities of key personnel. Provide an organizational chart showing the relationship among firm members and the agencies involved in the project. Provide resumes of key personnel and **key** subconsultants proposed for the project. Describe similar ~~studies~~ **projects** the team has completed. Describe the role of the project manager and key staff in those ~~studies~~ **projects**. (*Resumes do not count towards the page limit*)



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Qualifications Submittal

- A. *Date and Time* - Qualifications shall be received in the Offices of SJRRC at or before **2:00 P.M. Pacific Time on February 15, 2019.**
- B. *Address* - Qualifications shall be submitted to the following:
- San Joaquin Regional Rail Commission
Attn: Contracts and Compliance Department
"Do Not Open with Regular Mail"
949 E. Channel Street
Stockton, CA 95202
- C. Statement of Qualifications shall be sealed and clearly list which projects your firm is submitting qualifications for by completing the cover page for your response found in Attachment I of this RFQ.

- D. *Cost Proposals (Project Specific)* - For firms or individuals submitting qualifications to be considered for the immediate contracting of services on the projects described on pages 12 and 13, provide estimates of the total direct and indirect costs to complete the immediate project development phase needed services as identified. ~~in the Purpose of the RFQ section.~~

Proposers submitting cost proposals for the projects and services listed on pages 12 and 13 shall refer to 10-H1 of Attachment II. Proposers shall submit one form for each service and each project they are responding to.

Proposers submitting for the on-call lists for those projects listed on pages 12 and 13 shall refer to 10-H2 of Attachment II for each service they are responding to.

A detailed cost breakdown shall be provided identifying: 1) the number of staff hours and hourly rates for each professional and administrative staff person who will be committed to this project, including fringe and overhead costs; 2) an estimate of all other direct costs, such as materials and reproduction costs; and 3) an estimate of subconsultant services if needed. The cost proposals shall consist of a "not to exceed" cost proposals quotation.

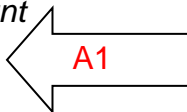
Cost Proposals **SHALL** be submitted in a sealed envelope separate from the Statement of Qualifications. ~~Each separate service and project being submitted for must be in their own sealed envelope with the service and project clearly labeled on the front.~~

~~Please refer to Exhibit 10-H1 from the Local Area Procedures Manual (LAPM), attached as Attachment II of this RFQ as a sample format for submitted cost proposals.~~

- E. It is the sole responsibility of the Proposer to ensure that their Qualifications are received by the SJRRC on or before the due date and time. Absent a Force Majeure situation as set forth below, any Qualifications received after the due date and time shall be deemed non-responsive and will not be considered for evaluations.
- F. *Force Majeure* - In the event a qualification is received after the deadline for submitting qualifications due to Acts of God/Nature beyond the reasonable control or anticipation of the Proposer, including, but not limited to, unusual

adverse weather, earthquake, fire, flood or other natural disaster, labor strikes, riots, explosions, war, terrorism, or a similar occurrences or conditions, a Proposer may request an extension of the deadline to submit their proposal supported by sufficient evidence of a force majeure to SJRRC. Requests for extensions shall be promptly delivered to SJRRC upon Proposer’s discovery of the force majeure event causing the delay in delivery of the proposal. SJRRC shall have the sole and unrestricted discretion to approve or deny the request for any reason.

Additional Required Documents as an Appendix to the SOQ *(Does not count towards ~~page limit 20-page limit~~)*

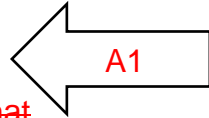


- A. Proposer shall complete Section XI “Proposer’s Responsibility Statement” and submit with Qualifications.
- B. Proposer shall complete Section XXII “Consultant Annual Certification of Indirect Costs and Financial Management System” and submit with Qualifications.

END OF SECTION

Attachment 3
Addendum 01 to RFQ 19-C668
Page 34 of Section VII “General Conditions”

“Consultant’s Information”). SJRRC agrees that Consultant’s Information is and shall remain the sole property of Consultant or such third party. Consultant agrees that SJRRC shall be entitled to use Consultant’s Information in connection with this Agreement, and shall grant to SJRRC a perpetual, royalty-free, irrevocable, worldwide, non-exclusive license to use all Consultant’s Information and to create and use derivative works of Consultant’s Information in connection with this Agreement.



~~5. Notwithstanding anything herein to the contrary, SJRRC acknowledges that as part of Consultant’s provision of work hereunder, Consultant may utilize proprietary works of authorship including, without limitation, software, methodologies, tools, specifications, drawings, sketches, models, samples, records and documentation, as well as copyrights, trademarks, service marks, ideas, concepts, know-how, techniques, knowledge or data, that have been originated or developed by Consultant or by third parties under Agreement to, or which have been purchased by, Consultant (all of the foregoing, collectively, “Consultant’s Information”). SJRRC agrees that Consultant’s Information is and shall remain the sole property of Consultant or such third party. Consultant agrees that SJRRC shall be entitled to use Consultant’s Information in connection with this Agreement, and shall grant to SJRRC a perpetual, royalty-free, irrevocable, worldwide, non-exclusive license to use all Consultant’s Information and to create and use derivative works of Consultant’s Information in connection with this Agreement.~~

L. TERMINATION

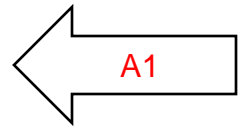
Upon approval of this contract, SJRRC shall have the right to terminate the contract for any reason as set forth in Section 19 of attached Sample Agreement.

M. SELECTION DISPUTES

Any protest regarding this bid process or award must be submitted to the San Joaquin Regional Rail Commission (“SJRRC”) in writing; in accordance with the applicable protest procedures described in Chapter 9 of SJRRC’s Board approved Procurement Manual, a copy of Chapter 9 is attached and incorporated here as Section IX.

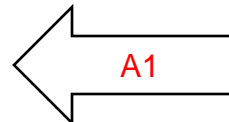
Protests should be addressed to:

San Joaquin Joint Powers Authority
949 E. Channel Street
Stockton, CA 95202
Attn: Contracts and Compliance Department

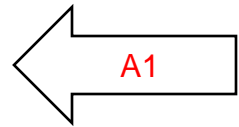


Experience	
How many years has the Proposer been performing works under the present business name?	
If any of the experience listed in this document refers to work performed under a different name, list the different business name(s) and describe the relationship to the present business name.	

Current Work in Progress	
How many projects does the proposer currently have in contracts?	
What is the approximate total dollar amount of contracts listed above?	
How many of the projects are: <ul style="list-style-type: none">• In an amount \$50,000 and under?• In an amount between \$50,001 - \$100,000?• In an amount between \$100,001 - \$300,000?• In an amount over \$300,000?	<hr/> <hr/> <hr/> <hr/>



List Three (3) Active Projects	
Name of client	
Project name	
Project contract amount	
City and state of client's office	
Client contact name	
Contact's phone number	
Contact's email address	
Name of client	
Project name	
Project contract amount	
City and state of client's office	
Client contact name	
Contact's phone number	
Contact's email address	
Name of client	
Project name	
Project contract amount	
City and state of client's office	
Client contact name	
Contact's phone number	
Contact's email address	



List Three (3) Completed Projects Within the Last Five (5) Years	
Name of client	
Project name	
Project contract amount	
City and state of client's office	
Client contact name	
Contact's phone number	
Contact's email address	
Project completion date	
Name of client	
Project name	
Project contract amount	
City and state of client's office	
Client's contact name	
Contact's phone number	
Contact's email address	
Project completion date	
Name of client	
Project name	
Project contract amount	
City and state of client's office	
Client contact name	
Contact's phone number	
Contact's email address	
Project completion date	

Claims History	
Has any claim (whether mediated, arbitrated, or litigated) been made against your company in the past five years?	<input type="checkbox"/> No <input type="checkbox"/> Yes
Has your company made any claim (whether mediated, arbitrated, or litigated) against any client in the past five years?	<input type="checkbox"/> No <input type="checkbox"/> Yes
If you answer "Yes" to any of the questions above, fill out and describe the claim(s) below: (use additional sheets if necessary)	
Client name	
Project name	
Claim amount	

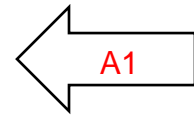
Contract Termination	
Did any of your previous client terminated a contract in the past five years?	<input type="checkbox"/> No <input type="checkbox"/> Yes (provide the information below)
If you answer "Yes" to the question above, fill out and describe the claim(s) below: (use additional sheets if necessary)	
Name of client:	
Project name:	
Termination date:	
Client's contact name:	
Contact's phone:	

Proposer hereby declares and certifies under penalty of perjury that the information contained herein is true, correct, and complete.

Contact Name	
Title	
Phone	
Email	
Signature	
Date	

Failure to complete and submit this form shall result in the proposal rejection and shall be deemed non-responsive.

Attachment 3
Addendum 01 to RFQ 19-C668
Revises and Replaces Previous Version



Attachment I – Submittal Cover Page

Statement of Qualifications for On-Call Consulting Services for Capital Projects (RFQ #19-C668)

Submitted By:

Firm Name:		Contact Person:	
Mailing Address:		City, State, Zip	
Phone Number:		Email Address:	

Date:	
-------	--

Please Check the Services Category/Categories and Project(s) this submission is for:

The bolded Services are immediate needs as referenced on pages 12 and 13 of the RFQ.

1. North Lathrop Transfer Station at Sharpe Army Depot
 PS&E **RW** CM **ES**
2. Lathrop Wye Connection
 PS&E **RW** CM **ES**
3. Manteca Transit Center Platform
 PS&E **RW** CM **ES**
4. Modesto Station Platform
 PS&E **RW** CM **ES**
5. Lodi Station
 Preliminary Design RW CM ES
6. Old North Sacramento Station
 Preliminary Design RW CM ES
7. City College Station
 Preliminary Design RW CM ES
8. Ceres Station
 PS&E **RW** CM **ES**
9. Ceres Layover Facility
 PS&E **RW** CM **ES**
10. Ripon Station Platform
 PS&E **RW** CM **ES**
11. San Joaquin River Bridge
 PS&E Permits RW CM ES
12. Tuolumne River Bridge
 PS&E Permits RW CM ES
13. Merced Station Parking Lot
 PS&E RW CM ES
14. Robert J. Cabral Station Expansion
 PS&E **RW** CM **ES**
15. Tracy ACE Station Improvements
 PA&ED **PS&E** **RW** CM **ES**
16. North Elk Grove Station
 Preliminary Design RW CM **ES**
17. Natomas/Sacramento Airport Station and Layover Facility
 Preliminary Design RW CM **ES**

- 18. Rail Track Improvements
 - PS&E RW CM ES
- 19. Stockton Cabral Track Extension
 - RW** **CM** **ES**
- 20. Stockton Wye
 - PA&ED PS&E RW CM ES
- 21. Final EIR ACE Extension Ceres to Merced
 - PA&ED RW CM ES
- 22. Sunol Quiet Zone Quad Gates
 - PS&E** **RW** CM **ES**
- 23. Fremont Platform Extension
 - PA&ED** **PS&E** **RW** CM **ES**
- 24. Stockton Interlock BNSF/UPRR Grade Separation Project
 - PA&ED PS&E RW CM ES
- 25. Madera Station Relocation
 - PA&ED** RW CM ES
- 26. Stockton Regional Rail Maintenance Facility Expansion
 - Preliminary Design** **PS&E** RW CM ES
- 27. East Channel Street Streetscape and Connectivity Project
 - CM
- 28. ACE Platform Extension Projects
 - CM

Attachment VI – DOT & FTA Clauses pages 121 and 122

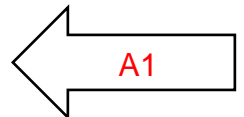
parties mutually agree, or in a court of competent jurisdiction within the State in which the SJRRC is located.

Rights and Remedies

The duties and obligations imposed by the Contract documents and the rights and remedies available thereunder shall be in addition to and not a limitation of any duties, obligations, rights and remedies otherwise imposed or available by law. No action or failure to act by the SJRRC or Contractor shall constitute a waiver of any right or duty afforded any of them under the Contract, nor shall any such action or failure to act constitute an approval of or acquiescence in any breach thereunder, except as may be specifically agreed in writing.

27 Federal Changes

Contractor shall at all times comply with all applicable FTA regulations, policies, procedures and directives, including without limitation those listed directly or by reference in the Agreement (Form FTA MA (18) dated October 1, 2011) between SJRRC and the FTA, as they may be amended or promulgated from time to time during the term of this Contract. Contractor's failure to so comply shall constitute a material breach of this Contract.

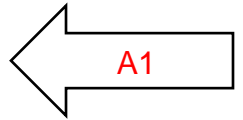


Proposers fill out and submit the following page to acknowledge receipt of the DOT & FTA Clauses

Attachment 6
Addendum 01 to RFQ 19-C668

Attachment VI – DOT & FTA Clauses pages 121 and 122

Attachment VI – DOT & FTA Clauses – Acknowledgment by Proposers



PROPOSER'S INFORMATION	
Name of the Firm	
Contact Name	
Title	
Phone	
Email	
Signature	
Date	
DUNS NUMBER (If Available)	
CAGE Code (If Available)	

Failure to complete and submit this form shall result in the proposal rejection and shall be deemed non-responsive.